Client Account Certifications

Use this page to gather required signatures for Individual, Joint, IRA, Revocable Trust, and Custodial (UTMA/UGMA) accounts.

PART 1: Advisor Information

Advisor Name	First Name	Middle Initial	Last Name
Advisor's Firm Name			

PART 2: Account Owner Information

Account Owner	Name		Social Security Number (SSN)/Tax Identification Number (TIN) – –
Co-Account Owner	Name		Social Security Number (SSN)/Tax Identification Number (TIN) – –
Folio Institutional Account Number		Account Name	

PART 3: Account Owner Signatures

I certify, under penalty of perjury, that

- The TIN on this application is the account owner's true TIN and the Primary Account Owner is a U.S. person. Visit our website at FolioInstitutional.com to see how we define a U.S. person.
- The Primary Account Owner listed above is not subject to backup withholding as a result of failure to report all interest and dividends, or the Internal Revenue Service (IRS) has notified them they are no longer subject to backup withholding.
  ☐ Check here ONLY if subject to backup withholding.
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.
- I, as the account owner or as an authorized person signing on behalf of the account owner, have read, understand, and I acknowledge I have received, read, and agree to the terms and conditions set forth in the Customer Agreement, which can be found online at FolioInstitutional.com.
- I agree that Folio does not recommend any securities or investments.

If you are an exempt payee, enter your exempt payee code(s), if any, here ____________________

If you are exempt from FATCA reporting, enter your exemption from FATCA reporting code(s) here ____________________

If you are unsure of either of these codes, see the IRS Instructions for Form W-9. We will provide these instructions upon request.

The Customer Agreement you agree to, by signing your name below, contains a pre-dispute arbitration clause located in the Arbitration section on page 7.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Account Owner/Custodian	**X**	Date (mm/dd/yyyy) / /
Additional Account Owner/Co-Custodian	**X**	Date (mm/dd/yyyy) / /

*Note: All account owners must sign.

Part 4: IRA Beneficiary Spousal Waiver

Complete this section ONLY if you are a married resident of a community property state (Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington, or Wisconsin) and are not naming your spouse as 100% primary beneficiary.

By signing below I certify the following: I am the spouse of the above-named account owner. I acknowledge that I have received a fair and reasonable disclosure of my spouse's property and financial obligations. Due to the important tax consequences of giving up my interest in this account, I have been advised to see a tax professional. I hereby give the account owner any interest I have in the funds or properties deposited in this account and consent to the beneficiary designation indicated above. I assume full responsibility for any adverse consequences that may result. No tax or legal advice was given to me by Folio.

By signing below I accept the beneficiary designations for the account listed on this form.

Spouse Signature	Spouse Name	
	X	Date (mm/dd/yyyy) / /

Additional account owners can sign on the back of this form.